MAY 0 7 2004 B

## MITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Blomberg et al.

**CONFIRMATION NO. 6958** 

**SERIAL NO.:** 

10/706,812

**GROUP ART UNIT: 3736** 

FILED:

November 12, 2003

TITLE:

"METHOD AND BREATHING APPARATUS FOR ASSESSING

**PULMONARY STRESS**"

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SIR:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the following documents be made during the course of examination of the above-referenced application for United States Letters Patent.

AA United States Patent No. 6,435,182

AB United States Patent No. 6,257,234

AL European Application 1 108 391

AM European Application 0 521 515

AN PCT Application WO 01/26721

AT United States Patent Application Publication 2002/0110849

AU Patent Abstracts of Japan Publication No. 2000175886, for Japanese Application No. 10354751

## **EXPLANATION OF RELEVANCE**

Reference AL was identified and discussed in the present specification, and Applicants stand by the statements in the specification concerning the teachings of that reference.

References AA, AB and AL were cited by the Swedish Patent Office in a Search Report rendered in connection with the counterpart Swedish application. All of the references were cited in Category "A" as general technological background information. A copy of the Swedish Search Report is submitted herewith.

References AL, AM, AN, AT and AS were cited by the European Patent Office in a Search Report rendered in connection with the counterpart European application. All of the references were cited in Category "A" as general technological background information.

A copy of the European Search Report is submitted herewith.

Copies of each of the above references together with Form 1449 are submitted herewith.

Since all of these references are in English no further commentary concerning their teachings is necessary.

As of the date of mailing of this Information Disclosure Statement, a first Office Action on the merits has not been received in connection with this application, and therefore, this Information Disclosure Statement is in compliance with 37 C.F.R. §1.97(b)(3), and no fee is necessary.

All claims of the application are submitted to be patentable over the teachings of the above references, taken singly or in combination. Early consideration of the application is therefore respectfully requested.

Submitted by,

(Reg. 24,149)

SCHIFF, HARDIN LLP CUSTOMER NO. 26574

Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606
Telephone: 312/258-5781
Attorneys for Applicant.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on May 4, 2004.

JAMES D. HOBART

CH1\ 4097634.1

							- 51100	<u> </u>	
Form PTO-144	9				Docket N		Serial		
					P03,0407 10/706,812				
	FORMATION DISCLOSURE CITATION IN AN APPLICATION					Applicant			
MAY 0 7 200	4 14	(use several sheets if necessary)			Blomberg et al. Filing Date		T Group	Art Unit	
U.S. PATENT DOCUME  Examiner's Document Number Date					November 12, 2003		3736		
MAY U / 200	*2	U.S	6. PATENT I	OCUMENTS	1				
Examiner's							Filing	Date If	
Initials		Document Number	Date	Name	Class	Subclass		priate	
	AA	6,435,182	08/20/2002	Lutchen et al.					
	AB	6,257,234	07/10/2001	Sun					
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI					<del>.</del>			
-	AJ								
	AK						1		
		FORE	IGN PATEN	T DOCUMENTS	·				
	,	Document Number	Date	Country	Class	Subclass	Translation		
							Yes	No	
	AL	1 108 391	06/20/2001	Europe					
	AM	0 521 515	01/07/93	Europe					
	AN	WO 01/26721	04/19/2001	PCT					
	AO		, .,						
	AP								
	AQ	· ·					1		
	AR								
	AS	<del> </del>							
	OTH	ER PRIOR ART (In	cluding Auth	or, Title, Date, Pe	rtinent Pa	ages, Etc.)	-LL		
	AT	<u> </u>	Application Publication 2002/0110849						
	AU	Patent Abstracts of Japan Publication No. 2000175886, for Japanese Application No. 10354751							
	AV	1033 (731			· ·				
	AW				<del> </del>				
Examiner			Date Considered						
		l if reference consid							
		tation if not in confe	ormance and	not considered. I	nclude co	py of this fo	rm wit	h next	
communicatio	и то арг	meant.							